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JC987 U.S. PTO  
10/16/01

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JC973 U.S. PTO  
09/18/01  
10/19/01

BOX PATENT APPLICATION  
ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, DC 20231

Express Mail No.: EL744195273US  
Attorney Docket No.: 0492611-0417  
Date Filed: October 16, 2001

**UTILITY PATENT APPLICATION TRANSMITTAL**  
(FOR NEW NONPROVISIONAL APPLICATIONS UNDER 37 C.F.R. § 1.53(B))

Dear Sir:

Please find enclosed a patent application and papers as follows for:

Inventor(s):

Given Name (first and middle)	Family Name or Surname	Residence (City and State or Foreign Country)
Daniel S.	Kohane	Newton, MA
Michael	Lipp	Quincy, MA
Robert S.	Langer	Newton, MA

Title of the Invention: LIPID-PROTEIN-SUGAR PARTICLES FOR DRUG DELIVERY

A) APPLICATION ELEMENTS:

- 1)   X   **Fee Transmittal Form** (original and duplicate submitted for fee processing)
- 2)   X   **Applicant Claims Small Entity Status** (see 37 CFR 1.27)
  - a)        Statement Verifying Small Entity Status (optional)

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3)  X  **Specification**

TOTAL PAGES:  71

- Descriptive Title of the Invention
- Related Applications
- Background of the Invention
- Summary of the Invention
- Definitions
- Brief Description of the Drawing
- Detailed Description of Certain Preferred Embodiments of the Invention
- Examples
- Other Embodiments
- Claim(s)
- Abstract

4)  X  **Drawing(s)** (35 U.S.C. § 113)

TOTAL SHEETS:  12

- a)     Formal Drawings (if checked)

5)  X  **Oath or Declaration**

TOTAL PAGES:  3

- a)     Newly Executed (original or copy)
- b)     Copy from a prior application (37 C.F.R. § 1.63(d))-for continuation/divisional application
- i)      DELETION OF INVENTOR(S) : Signed Statement deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
- c)  X  Unexecuted

6)     **Application Data Sheet.** See 37 CFR 1.76.

7)     **CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)**

8)     **Nucleotide and/or Amino Acid Sequence Submission** (if applicable, all are necessary)

- a)     Computer Readable Form (CRF)
- b)     Specification Sequence Listing on:
- i)     CD-ROM or CR-R (2 copies); or
- ii)     Paper
- c)     Statements verifying identity of above copies

B) ACCOMPANYING APPLICATION PARTS:

- 9)  X  **Assignment Papers** (cover sheet & document(s))
- 10)  X  **37 C.F.R. § 3.73(b) Statement** (when there is an assignee)
- 11)  X  **Power of Attorney**
- 12)      **English Translation Document** (if applicable)
- 13)      **Information Disclosure Statement (IDS)/PTO-1449**
- 14)      **Copies of IDS Citations**
- 15)      **Preliminary Amendment**
- 16)  X  **Return Receipt Postcard (MPEP 503)** (specifically itemized)
- 17)      **Certified Copy of Priority Document(s)** (if foreign priority is claimed)
- 18)      **OTHER:** (if applicable, specified below)

C) FOR CONTINUING APPLICATIONS: (the appropriate box is checked, and certain information is provided below and in a preliminary amendment)

    CONTINUATION                           DIVISIONAL                           CONTINUATION-IN-PART (CIP)

OF PRIOR APPLICATION NO: \_\_\_\_\_

FILED: \_\_\_\_\_

EXAMINER: \_\_\_\_\_

GROUP/ART UNIT: \_\_\_\_\_

**FOR CONTINUATION OR DIVISIONAL APPLICATIONS ONLY:** The entire disclosure of the prior application, from which an oath or declaration is supplied as detailed above, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

D) METHOD OF PAYMENT OF FILING FEES FOR THIS APPLICATION:

- ☒ Applicant claims small entity status 37 CFR 1.27
- ☒ A check is enclosed to cover the filing fees as determined on the fee transmittal enclosed herewith
- ☒ The commissioner is hereby authorized to charge additional filing fees or credit any overpayment to deposit account number 03-1721.

Total filing fee amount \$ 1166.00

E) CORRESPONDENCE ADDRESS:



24280

PATENT TRADEMARK OFFICE

☒ Customer Bar Code Label:

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Respectfully Submitted,

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EXPRESS MAIL No.: EL744795273US  
DATE FILED: OCTOBER 16, 2001